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1 **High permeability explains the vulnerability of the carbon store in drained**
2 **tropical peatlands**
3

4 **Andy J. Baird^{1*}, Robert Low², Dylan Young¹, Graeme T. Swindles¹, Omar R. Lopez^{3,4},**
5 **and Susan Page⁵**

6 ¹School of Geography, University of Leeds, LS2 9JT, United Kingdom

7 ²Rigare Ltd, Abergavenny, NP7 6AH, United Kingdom

8 ³Instituto de Investigaciones Científicas y Servicios de Alta Tecnología (INDICASAT),
9 Apartado 0843-01103. Ciudad del Saber, Clayton, República de Panamá

10 ⁴Smithsonian Tropical Research Institute, Apartado 0843-03092, Balboa, Ancón, Panamá,
11 República de Panamá

12 ⁵Department of Geography, University of Leicester, LE1 7RH, United Kingdom

13
14 Corresponding author: Andy Baird; a.j.baird@leeds.ac.uk; ORCID iD: orcid.org/0000-0001-8198-3229.
15
16

17 **Key Points:**

- 18 • Permeability of the most common type of tropical peatland is higher than expected
19 and like that of unconsolidated gravel
- 20 • High permeability does not cause rapid drainage of undisturbed tropical peatlands
- 21 • High permeability leads to deep water tables in ditched tropical peatlands, and
22 associated high rates of peat oxidation
23
24

25 **Abstract**

26 Tropical peatlands are an important global carbon (C) store but are threatened by drainage for
27 palm oil and wood pulp production. The store's stability depends on the dynamics of the
28 peatland water table, which in turn depend on peat permeability. We found that an example of
29 the most abundant type of tropical peatland – ombrotrophic domes – has an unexpectedly
30 high permeability similar to that of gravel. Using computer simulations of a natural peat
31 dome (NPD) and a ditch-drained peat dome (DPD) we explored how such high permeability
32 affects water tables and peat decay. High permeability has little effect on NPD water tables
33 because of low hydraulic gradients from the centre to the margin of the peatland. In contrast,
34 DPD water tables are consistently deep, leaving the upper meter of peat exposed to rapid
35 decay. Our results reveal why ditch-drainage precipitates a rapid destabilization of the
36 tropical peatland C store.

37

38 **Index Terms:** 1829 Groundwater hydrology; 1847 Modeling (1952, 4316); 1890 Wetlands
39 (0497); 0428 Carbon cycling (4806).

40

41 **Keywords:** Tropical peatlands; permeability; drainage; decay; carbon.

42 **1. Introduction**

43 Tropical peatlands contain at least 87 Pg of carbon (C) [Page *et al.*, 2011], equivalent
44 to the C store in the above-ground biomass of the Amazon rainforest [Fauset *et al.*, 2015].
45 Most are found in Southeast Asia, with parts of central Africa, Mesoamerica and the Amazon
46 also important [Page *et al.*, 2011]. The majority of tropical peatlands are domed [Anderson,
47 1983; Page *et al.*, 2004; Phillips *et al.*, 1997; Winston, 1994], and their role as C stores is
48 intimately related to how they function hydrologically, with, for example, the position of the
49 water table affecting rates of plant litter production (C inputs; net photosynthesis) and peat
50 decay (C outputs) [Kurnianto *et al.*, 2014; Moore *et al.*, 2011].

51 The sole source of water in most domed tropical peatlands is rainfall; they are
52 ombrotrophic [Ingram, 1983; Moore *et al.*, 2011]. Water losses may occur via one of five
53 pathways: direct evaporation of liquid water trapped on leaf surfaces, direct evaporation from
54 the ground surface, as water vapour leaving the stomata (transpiration), groundwater flow
55 through the peatland to its margin, and overland flow [Ingram, 1983]. However, the relative
56 importance of these controls on the tropical peatland water budget and water-table dynamics
57 is poorly understood. In particular, very little is known about how much water flows below
58 the peatland surface, which is, in part, controlled by peat permeability (or, more strictly, the
59 hydraulic conductivity, K). There is a paucity of data on this critical parameter for tropical
60 peatlands and little is known about its magnitude or variability [Kelly *et al.*, 2014].

61 To help close this important knowledge gap we measured the K of an ombrotrophic
62 peatland in Panama. The site was chosen because of its broad similarities with lowland
63 ombrotrophic peatlands found throughout the tropics (see section 2). We used our K data in a
64 groundwater model [Baird *et al.*, 2012] to simulate peatland water-table fluctuations in both a
65 natural peatland dome, and one that has undergone artificial drainage, to evaluate the relative
66 importance of K and subsurface groundwater flow in the hydrological budget. We then used
67 the groundwater model's output in a separate decay model to investigate the effect of K and
68 artificial drainage on the vulnerability of the tropical peatland C store.

69 2. Peat Hydraulic Conductivity (*K*)

70 Our *K* measurements were made in part of the Changuinola swamp in Bocas del Toro
71 province in northwest Panama. We chose the swamp because of the general similarity of its
72 component peatlands to ombrotrophic peatlands found widely in the tropics, particularly
73 Southeast Asia (see above) [Page *et al.*, 2006; Phillips *et al.*, 1997]. The ombrotrophic
74 peatlands in the swamp have a characteristic pattern of vegetation from open, sawgrass-
75 dominated interiors that give way to concentric zones with varying domination by tropical
76 hardwoods and palms as one moves to the margins. Although there are differences in species
77 between Mesoamerican and Southeast Asian peatlands, species from the same genus occur
78 commonly in both areas. For example, the hardwood *Camposperma panamensis* is
79 dominant in parts of Changuinola and is common in peatlands across Mesoamerica, while
80 *Camposperma coriacea*, and *Camposperma squamata* occur widely in Southeast Asian
81 peatlands [Rydin & Jeglum, 2006]. Even when species differ, the growth forms and traits,
82 including buttress roots and pneumatophores (breathing roots), are the same in peatlands
83 from the two regions. The climate is also very similar, with temperatures, annual rainfall and
84 evapotranspiration from the study area (section 3) all within the range for Southeast Asian
85 sites [Page *et al.*, 2006]. Finally, the morphology of the peatlands is similar: the large
86 peatland dome of San San Pond Sak in Changuinola, which we used for our groundwater
87 model of a natural dome (see sections 1 and 3 and Supporting Information), is of a similar
88 size and shape to many in Southeast Asia, although some Southeast Asian domes are
89 characterized by steeper margins [Winston, 1994]. Given the above similarities, we believe
90 our findings have applicability beyond the study area.

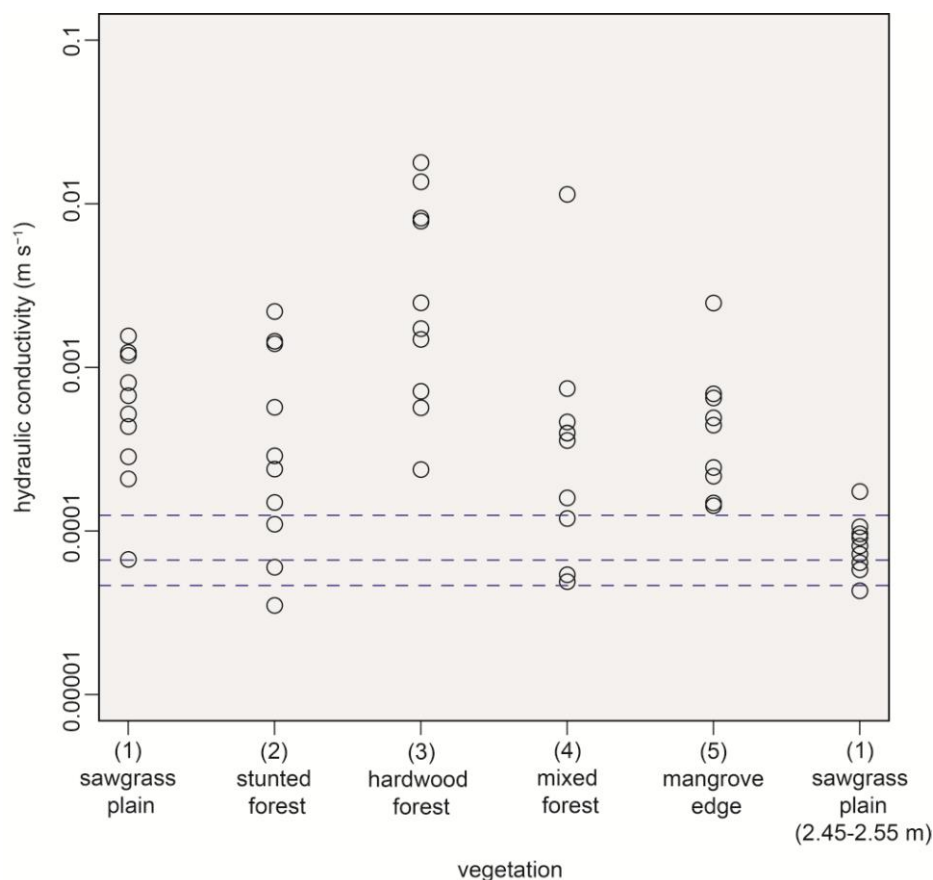
91 Ten *K* measurements were made within each of the following five vegetation zones:
92 sawgrass plain (zone 1 – center), stunted forest (2), hardwood forest (3), mixed forest (4), and
93 mangrove edge (5 – margin) (see Supporting Information). *K* was measured at a depth of
94 0.55–0.65 m in each zone using piezometers. Additional measurements were made in zone 1
95 (sawgrass plain) at a depth of 2.45–2.55 m, also using piezometers. We employed a variant of
96 the slug test method to measure *K* (see Supporting Information and its reference to Hvorslev
97 [1951]) and followed best practice when installing and cleaning the piezometers prior to the
98 tests [Butler, 1998; Baird *et al.*, 2004; Surridge *et al.*, 2005]. The shallow peat in zone 1
99 comprised poorly-decomposed sawgrass (*Cladium*) remains with woody inclusions and an
100 admixture of well-decomposed amorphous organic matter. In all other zones, the peat was a
101 mass of living and dead (mostly) tree roots, the latter in various stages of decay, set within a
102 loose matrix of amorphous organic matter. The deeper peat in zone 1 was like the shallow
103 peat but with moderately- to well-decomposed sawgrass remains. The pH of the pore water at
104 the site ranged from 3.9–4.4 except at the peatland margin where it was higher (~5.5) because
105 of periodic tidal inundation. Pore water electrical conductivity away from the mangrove edge
106 varied between 3.8 and 6.9 mS m⁻¹.

107 We found that *K* was unexpectedly high – similar to that of unconsolidated coarse
108 sand or fine gravel [Domenico & Schwartz, 1990] (Figure 1), with the arithmetic mean
109 ranging from $8.7 \times 10^{-5} \text{ m s}^{-1}$ (zone 1 deep peat) to $5.462 \times 10^{-3} \text{ m s}^{-1}$ (zone 3 – hardwood
110 forest) (values corrected to 25 °C). This range is, in meters per day, 7.5 to 471.9 (units
111 commonly used in groundwater studies), while in cm s⁻¹ it is 8.7×10^{-3} to 5.462×10^{-1} (units
112 commonly used by soil scientists). Statistical analysis revealed that the deeper peat had a
113 significantly ($p < 0.01$) lower *K* than the shallower peat. Differences in shallow-peat *K*
114 between the different zones were less clear-cut (see Supporting Information).

115 These *K* values are among the highest recorded anywhere for peat at depths below the
116 immediate surface layers (i.e., depths below ~0.2–0.3 m), including temperate and boreal

117 ombrotrophic peatlands [Baird *et al.*, 2016; Kelly *et al.*, 2014], where upper-end values rarely
 118 exceed $1 \times 10^{-4} \text{ m s}^{-1}$. They are also considerably higher than values recently recorded for
 119 three Peruvian Amazonian floodplain peatlands [Kelly *et al.*, 2014] – two flat and one
 120 shallowly-domed – that are likely to be different from the widespread ombrotrophic domes
 121 found elsewhere in the tropics because they are (or have been until the last few hundred years
 122 – T.J. Kelly pers. comm.) regularly overtopped by river floodwaters which will affect their
 123 biogeochemistry and, therefore, peat properties. As shown in Figure 1, our median values are
 124 between two and more than 30 times higher than found in these Peruvian Amazonian
 125 floodplain peatlands [Kelly *et al.*, 2014].

126 As noted by Kelly *et al.* [2014] (see also Dommain *et al.* [2010]) very few other
 127 studies exist on the K of tropical peat. Takahashi & Yonetani [1997] measured K at depths of
 128 1 to 1.7 m in an Indonesian forest swamp using piezometers but published only a rounded
 129 value ($K \geq 1 \times 10^{-4} \text{ m s}^{-1}$) for depths < 1 m. Hoekman [2007] suggests a much higher value of
 130 $2.3 \times 10^{-3} \text{ m s}^{-1}$ but provides no information on how it was obtained. Nugroho *et al.* [1997]
 131 provide a more detailed data set for an Indonesian peatland, with a K range ($n = 28$) of $3.5 \times$
 132 10^{-5} to $1.9 \times 10^{-3} \text{ m s}^{-1}$ but do not indicate how measurements were made or the depths from
 133 which they were obtained. Finally, Sayok *et al.* [2007] present K values for Malaysian swamp
 134 forest, obtained using slug tests in auger holes, with a mean value of $3.9 \times 10^{-4} \text{ m s}^{-1}$ ($n = 15$).
 135 Notwithstanding uncertainty over the reliability of some of these estimates, they show that
 136 our values are mostly within the range of, but also exceed, the values for Southeast Asian
 137 peatlands.



138
 139 **Figure 1.** Hydraulic conductivity values (corrected to 25 °C) measured in each vegetation
 140 zone. Note the log. scale on the y-axis. The dashed horizontal lines correspond to the medians
 141 reported for three Amazonian floodplain peatlands [Kelly *et al.*, 2014] (see main text).

142 **3. Water-table Dynamics and Peat Decay in Natural and Ditch-drained Domed Tropical**
143 **Peatlands**

144 Many tropical peatlands occur in areas with a distinct dry season. Drought duration
145 and frequency may also be set to increase in the tropics as the climate changes [*Chadwick et*
146 *al.*, 2015; *Corlett*, 2016]. High K values could be taken to indicate an inherent vulnerability
147 of the tropical peatland C store, with even brief periods of dry weather allowing the peatland
148 to drain and the peat to oxidize. However, K is not the sole control of the hydrological
149 responsiveness of a peatland. It is necessary also to consider how hydraulic gradients develop
150 in the peat and how these, in combination with K , affect groundwater flow [*Ingram*, 1983].
151 To simulate such water losses we modeled a typical tropical peatland dome (see section 2) –
152 the San San Pond Sak dome in the Changuinola peat swamp close to where we measured K –
153 using the groundwater component of the DigiBog peatland model [*Baird et al.*, 2012; *Morris*
154 *et al.*, 2012]. The groundwater model is based on the Boussinesq equation for shallow
155 unconfined aquifers [*McWhorter & Sunada.*, 1977]. The San San Pond Sak dome is roughly
156 circular in plan, with a diameter of close to 8 km. Because it is circular, we did not model all
157 of it; rather, we modeled a sector (Figure 2b). We used our K data to parameterize the model
158 (see Supporting Information and its reference to *Binley et al.* [1989]). The part of the sector
159 representing the centre of the dome had a no-flow or Neumann boundary condition [*Franke*
160 *et al.*, 1987]. The edge of the dome terminated in a mangrove creek, where the boundary
161 condition was a fixed water level (type of Dirichlet condition) set 0.45 m below the peatland
162 surface (mean sea level) (see Supporting Information).

163 We also considered a theoretical situation where the dome had been ditch drained and
164 converted to agricultural use such as palm oil production. We used ditch/drain spacings
165 commonly found in such plantations on peat soils (parallel field ditches at 20 m and
166 interceptor or collector ditches at 90 m [*AA Resources* [no date]; Figure 2c) and set maximum
167 (wet period) water levels in the ditches towards the upper end of the commonly-used range
168 (0.4 m below ground level (bgl) in the field ditches and 0.6 m bgl in the interceptor ditches).
169 These levels in the ditches represent the boundary condition of the ditched peatland model.

170 Henceforth, we refer to the two peatland models as the natural peat dome (NPD) and
171 the drained peat dome (DPD). We assumed the upper layers of the DPD had a lower K than
172 the NPD because of compaction associated with drainage (see Supporting Information and its
173 reference to *Whittington et al.* [2007]). In both the NPD and DPD we set up the model to
174 simulate surface ponding and overland flow by introducing a model layer above those which
175 represent peat. We modeled just one part of the drainage network in the DPD. Once water has
176 drained into the collector or interceptor drain for each 90 m × 20 m block, it is conveyed to
177 the peatland margin, so is effectively lost from the peatland. Therefore, our DPD results will
178 apply to all of those areas of the peatland that have been ditched. More detail of the NPD and
179 DPD groundwater models is provided in the Supporting Information.

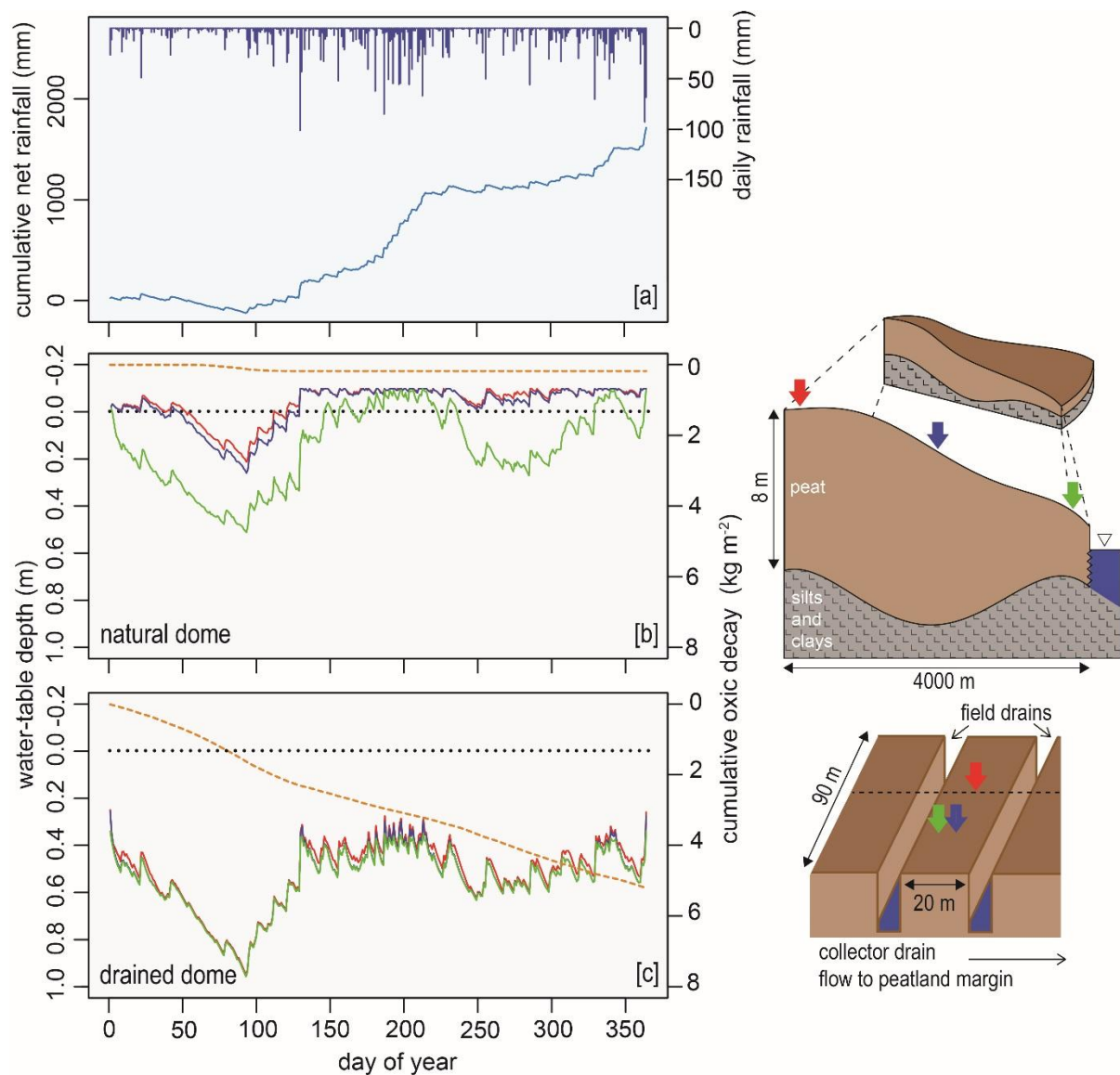
180 We ran our simulations for one year and ‘forced’ each model with a net rainfall
181 (rainfall minus evapotranspiration) series derived from measurements made in 2014 close to
182 the study area (Figure 2a) (see Supporting Information and its reference to *Kaufman &*
183 *Thompson* [2005], *Fábrega et al.* [2013] and *Paton* [2015]). 2014 was a typical rainfall year
184 [*Paton*, 2015] for the area, which experiences a seasonal dry period between January and
185 March. The annual net rainfall of 1715 mm (rainfall of 3175 mm minus evapotranspiration of
186 1460 mm) used in the model runs is similar to that reported for peatland sites in Southeast
187 Asia (e.g., Central Kalimantan, Indonesia) [*Hirano et al.*, 2015]. The 90-day dry period
188 coincided with the beginning of our model runs but the initial condition of each model

189 peatland was wet (water table at the peatland surface) to reflect what would normally be a
190 wet prior period in November and December.

191 We also calculated the loss of C via oxic decay for each model peatland (see
192 Supporting Information), using a simple exponential decay model. Water-table depths from
193 the centers of the NPD and DPD provided the thickness of the oxic zone. For each day, the
194 exponential decay model was used to calculate the total oxic zone decay. By summing these
195 losses over time we were able to calculate cumulative oxic decay and compare it between the
196 NPD and DPD. We used a decay coefficient in the oxic decay model from the lower end of
197 observed values (see Supporting Information and its reference to *Brady* [1997], *Chimner &*
198 *Ewel* [2005], and *Sjögersten et al.* [2014]), so our estimates of cumulative decay are probably
199 conservative.

200 During the dry season (Figure 2a – days 1–90) at the beginning of the simulation,
201 water tables in the bulk of the NPD (Figure 2b) respond mainly to evapotranspiration losses;
202 there is little difference in water-table position relative to the peatland surface between the
203 centre of the dome (Figure 2b, red line) and 2 km distant (Figure 2b, blue line). Only close to
204 the peatland margin is a clear groundwater flow effect seen, with water tables responding to
205 both evapotranspiration and subsurface losses. In the bulk of the peatland outside of the
206 initial 90-day dry season, the water level is above the ground surface and most water leaves
207 the peatland via overland flow. This prediction of surface inundation is consistent with
208 observations of "standing water" across much of the San San Pond Sak dome by *Phillips et*
209 *al.* [1997]. Overall, relatively little water is lost from the NPD via subsurface groundwater
210 flow: ~16 % of net rainfall (equal to ~9 % of rainfall). In stark comparison, all net rainfall at
211 the DPD leaves the site via subsurface flow. As shown in Figure 2c, water levels in this
212 scenario are always below the ground surface. Rainfall on the DPD causes brief rises in water
213 tables followed by rapid falls, and water-table depths during dry periods are frequently
214 greater than 0.50 m, reaching a maximum depth of close to 0.95 m.

215



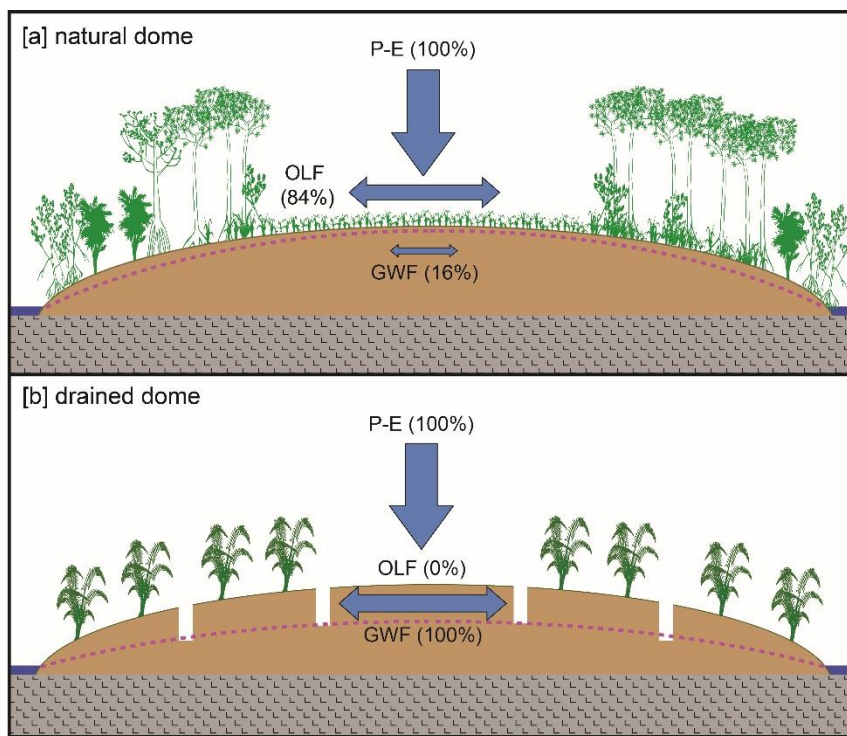
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217 **Figure 2.** (a) Cumulative net rainfall (rainfall minus evapotranspiration) and daily rainfall
 218 used in the hydrological simulations. (b) Modeled water tables and cumulative mass lost in
 219 the NPD. Black dotted line: peatland surface; red: central dome water table; blue: water table
 220 halfway between dome centre and margin; green: dome edge (40 m from margin) water table;
 221 dashed orange: cumulative organic matter mass lost. (c) Modeled water tables and cumulative
 222 mass lost in the DPD. Black dotted line: peatland surface; red: centre of rectangular plot,
 223 blue: halfway between centre of plot and collector drain; green: 2 m from field drain; dashed
 224 orange: cumulative mass lost. The cartoons to the right of (b) and (c) show, respectively, the
 225 NPD and the DPD as represented in the groundwater model. The coloured arrows show the
 226 locations from which the water-table time series in the graphs were obtained.

227 These strongly contrasting results are summarized in Figure 3. The differences
 228 between the scenarios and the – apparently surprising – unimportance of subsurface
 229 groundwater flow in the NPD may be explained by differences in hydraulic gradients
 230 between the NPD and the DPD. Hydraulic gradients in shallow aquifers like the NPD are
 231 controlled by the surface topography. Because of its great lateral extent, such gradients in the
 232 NPD are very low which means that relatively little water is lost via subsurface groundwater
 233 flow. Conversely, relatively steep hydraulic gradients develop between the peat and the

234 nearby drainage ditches in the DPD; rapid flow into the ditches occurs, keeping water tables
235 well below the surface for most of the time.

236



237

238 **Figure 3.** Conceptual model of hydrological pathways and degree of aeration in natural
239 tropical peat domes and drained domes. P-E denotes net rainfall (rainfall minus evapo-
240 transpiration), OLF overland flow, and GWF groundwater flow. Arrow sizes (area of arrow)
241 are approximately proportional to magnitude. The zone above the dashed line in each case
242 indicates where oxic decomposition occurs during some or all of the year.

243 The substantial differences in hydrological behaviour are mirrored in the amount of
244 peat decay that occurs, with that in the DPD exceeding by a factor of 31 that in the NPD: 5.20
245 $\text{kg m}^{-2} \text{yr}^{-1}$ vs $0.17 \text{ kg m}^{-2} \text{yr}^{-1}$ (Figure 2b,c), or in C terms 2.86 and $0.09 \text{ kg C m}^{-2} \text{yr}^{-1}$.
246 Notably, loss of organic matter occurs throughout the year in the DPD but only in the dry
247 season in the NPD. The much higher rates of oxic decay in the DPD, together with the
248 fundamental change from surface to subsurface flow after drainage – the latter meaning that
249 dissolved decay products (principally dissolved organic C – DOC) are transported from the
250 peat – help explain the recently-observed destabilization of the C store in drained tropical
251 peatlands [Moore *et al.*, 2011]. Although our simulations are based on data from a
252 Mesoamerican peatland we show above (beginning of section 2 and discussion of *K* results at
253 end of section 2) that it is broadly representative of tropical ombrotrophic peatlands more
254 generally, including those in Southeast Asia. Therefore, it is, perhaps, not surprising that our
255 independently modeled decay rate for the DPD is similar to the mean from a range of drained
256 sites in Southeast Asia [Hooijer *et al.*, 2012] (see Supporting Information and its reference to
257 Couwenberg *et al.* [2010] and den Haan *et al.* [2012]).

258 4. Conclusion

259 Our model runs show how the effect of a high K is very different between natural and
260 ditch-drained peatlands, and reveal the mechanism for the contrast in rates of oxic decay
261 between the two. Our results also suggest that ditch drainage of tropical peatlands and the
262 plantation products it supports are unsustainable, and that ditch blocking and re-wetting are
263 necessary to protect the C store in tropical peatlands. This suggestion is based on the
264 assumption that K in ditched peatlands does not decline with time after initial compaction. If
265 K declines strongly as the peat decomposes, then the effects of drainage may be, to some
266 degree, self-limiting. However, the available data on oxidation-related peat subsidence appear
267 to indicate that such self-limiting behaviour does not occur [Hooijer *et al.*, 2012] (see also
268 Supporting Information), with rates of oxidation and peat subsidence remaining high many
269 years after drainage (> 5–10 years).

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284 The raw pressure transducer data and associated meta data from the hydraulic
285 conductivity tests are archived in the Research Data Leeds repository, [link and doi to be](#)
286 [provided](#).

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